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Appl # 09/957,983

FORM PTO-1449 (Mod. 9-94) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY DOCKET NO: S63.2-6769	Application No: 09/957,983
	APPLICANT: Brian J. Brown et al.	
	FILING DATE: November 20, 1998	GROUP: 3738

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS			
EXAM'S INT'L.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
AA	5,591,197	1/7/97	Orth et al.	606	198
AB	5,697,971	12/16/97	Fischell et al.	623	1
AC	5,718,713	2/17/98	Franzen	606	198
AD	5,735,893	4/7/98	Lau et al.	623	1
AE	5,741,327	4/21/98	Franzenq	623	1
AF	5,755,776	5/26/98	Al-Saadon	623	1
AG	5,755,781	5/26/98	Jayaraman	623	1
AH	5,776,161	7/7/98	Gleberman	606	194
AI	5,776,183	7/7/98	Kanesaka et al.	623	1
AJ	5,807,404	9/15/98	Richter	623	1
AK	5,818,872	9/22/98	Kanesaka et al.	606	198
AL	5,824,059	10/20/98	Wijay	623	1

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO		
BB	BA	297 01 758	3/27/97	DE				
BB	BB	297 02 671 U1	4/10/97	DE				
BC	BC	297 08 689 U1	7/17/97	DE				
BD	BD	297 08 879 U1	7/31/97	DE				
BE	BE	0 821 920 A1	2/4/98	EP				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

VB	CA	Beyar et al, "The BeStent; The Parallel-Serial Jang Stents", <i>Handbook of Coronary Stents, Second Edition</i> , 158-171 & 229-234 (1998).					
VB	CB	Beyar et al, "Newer Stents: Materials and Designs", <i>LAGS Proceedings</i> , 9(5): 363-371 (June 1997).					
VB	CC	Roguin et al, "Acute and 30-Day Results of the Serpentine Balloon Expandable Stent Implantation in Simple and Complex Coronary Arterial Narrowings", <i>The American Journal of Cardiology</i> , 80:1155-1162 (November 1997).					
VB	CD	Roguin et al, "beStent-the serpentine balloon expandable stent: review of mechanical properties and clinical experience", <i>Artif Organs</i> , 22(3):243-249 (March 1998).					

EXAMINER	YH	DATE CONSIDERED	3/29/05
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Appl. # 09/957,983.

Sheet (2/8)

FORM PTO-1449 (Modified)		ATTY DOCKET NO.: S63.2-6769	SERIAL NO.: 09/152,228
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT: Brian J. Brown et al.	EEB-5 2002 GROUP: 3731
		FILING DATE: November 20, 1998	EEB 3700 MAIL ROOM

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS			CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
EXAMINER'S INIT.	DOCUMENT NUMBER	DATE	NAME				
	AA						
	AB						
	AC						
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	AL						

FOREIGN PATENT DOCUMENTS					CLASS	SUBCLASS	TRANSLATION	
		DOCUMENT NUMBER	DATE	COUNTRY			YES	NO
13	BA	96/26689	9/6/96	WO				
14	BB	98/20810	5/22/98	WO				
15	BC	297 16 476 UI	2/5/98	DE				
16	BD	0 876 806 A1	11/11/98	EP				
	BE							

BE	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
CA		
CB		
CC		
EXAMINER	<i>John</i>	DATE CONSIDERED
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<i>3/29/05</i>		
Page <u>1</u> of <u>1</u>		

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Appl. # 8873 Sheet (3/8)  
09/957,983

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY DOCKET NO: S63.2-5605	Serial No. 08/111,876
	APPLICANT: Brian J. Brown, Michael L. Davis	RECEIVED 5/29/95
	FILING DATE: August 3, 1995	Group: 3508 5/29/95

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILED DATE IF APPROVED
AA	4,552,545	11/19/1985	Mass et al.			
AB	4,733,664	03/29/1988	Palma			
AC	4,739,762	03/26/1988	Palma			
AD	4,776,337	10/11/1988	Palma			
AE	4,830,003	05/16/1989	Wolff et al.			
AF	4,856,516	08/15/1989	Hillstead			
AG	4,836,062	12/12/1989	Wiktor			
AH	4,913,141	04/03/1990	Hillstead			
AI	4,994,071	02/19/1991	MacGregor			
AJ	5,019,090	05/28/1991	Pinchuk			
AK	5,035,706	07/30/1991	Gianurelio et al.			

FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
				YES	NO		
BA	0 606 165 A1	07/13/1994	EPO			X	
BB	0 540 290 A2	05/05/1993	EPO			X	
BC	0 364 787 B1	04/25/1990	EPO			X	
BD	WO 94/17754	03/18/1994	PCT			X	
BE	0 541 443 A1	05/12/1993	EPO			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CA	Manufacturing Processes for Engineering Materials, by Serepe Kalpatkian, Illinois Institute of Technology, Addison-Wesley Publishing Company, pp. 340.		
CB	A View of Vascular Stents, by Richard A. Schatz, MD, From the Arizona Heart Institute Foundation, Phoenix, Arizona, CIRCULATION, Vol. 79, No. 2, February 1989, pp. 445-457.		
CC	The Self-Expanding Mesh Stent, by Ulrich Sigwart, SECTION IV, Chapter 29, pp. 605-610.		

EXAMINER

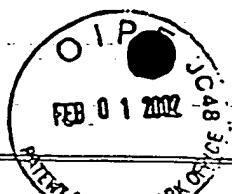
DATE CONSIDERED

3/29/95

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Appl. # 09/957,983 (4/8) <sup>Sheet</sup>

FORM ITO-149 (Revised) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE <i>STATEMENT OF INVENTION</i> (Use several sheets if necessary)			ATTY DOCKET NO: 563.2-5605			Serial No: 08/911,760	
			APPLICANT: Brian J. Brown, Michael L. Davis			FILING DATE: August 3, 1995	
REFERENCE DESIGNATION U.S. PATENT DOCUMENTS							
EXAMINER'S ENT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	ET FILED DATE IF APPROVED
VB	AA	5,059,211	10/23/1991	Stock et al.			
	AB	5,103,317	04/07/1992	Palmer			
	AC	5,104,404	04/14/1992	Wolff			
	AD	5,133,732	07/23/1992	Walter			
	AE	5,135,516	08/04/1992	Hillstead			
	AF	5,195,554	03/23/1993	Schulz			
	AG	5,197,973	03/30/1993	Heiss			
	AH	5,292,823	02/01/1994	Schwarz et al.			
	AI	5,292,331	03/03/1994	Boncua			
VB	AJ	5,304,300	04/19/1994	Spaulding			
VB	AK	5,449,373	09/12/1995	Purcharik et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES
VB	AL	6-4175	03/06/1994	Japan			
	AM						
	AN						
	AO						
	AI						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
VB	AR		Japanese Infringement Search on Articulated-Expandable-Screws. Dated July 17, 1995.				
			Not found.				
VS	AS		ENGINEERING FLUID MECHANICS, Third Edition, John A. Robertson and Clayton T. Crowe, pp. 54 and pp. 114-121				
VB	AT		Cambridge Dictionary of Science and Technology, Cambridge University Press, p. 123				
EXAMINER	<i>PMH</i>		DATE CONSIDERED			3/29/05	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



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GROUP: 3738

ITEMS & PARTS OF APPLICANT'S  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-6769

APPLICANT: Brian J. Brown et al.

FILING DATE: November 20, 1998

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REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS			
EXAMINER'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
AA					
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AL					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
VA	BA	298 16 878 U1	12/24/98	DE			(Abstract)	
	BB							
	BC							
	BD							
	BE							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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	CB							
	CC							

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<b>FORM PTO-1449 (Modified)</b> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>(Use several sheets if necessary)</b>		ATTY DOCKET NO: S63-2-S605	Serial No: 08454479-6
		APPLICANT: Brian J. Brown, Michael L. Davis	RECEIVED
		FILING DATE: August 3, 1995	FEB-5 1996 RFBup: 3308
<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Relevant Pages, Place of Publication)			
VB	CA	<i>Improved Dilation Catheter Balloons</i> , by Stanley B. Levy, Ph.D., <u>JOURNAL OF CLINICAL ENGINEERING</u> , Vol. 11, No. 4, July-August 1986, pp. 291-296.	
	CB	<i>Self-expanding Stainless Steel Biliary Stents</i> , By Harold G. Ceens, MD, <u>RADIOLOGY</u> 1989, Vol. 170, No. 3, Part 2, pp. 979-983.	
	CC	Technical Note Entitled <i>Modifications of Gianturco Expandable Wire Stents</i> , By Barry T. Uchida et al., <u>AJR</u> , Vol. 150, May 1988, pp. 1185-1187.	
	CD	Brochure from Cook Incorporated regarding Gianturco-Rosch Biliary Z-Stents".	
	CE	<i>Expandable Biliary Endoprostheses: An Experimental Study</i> , By Carrasco et al., <u>AJR</u> , Vol. 145, December 1985, pp. 1279-1282.	
	CF	<i>Gianturco Expandable Metallic Biliary Stents: Results of a European Clinical Trial</i> , By Irving, et al., <u>Interventional Radiology</u> , Vol. 172, No. 2, August 1989, pp. 321-326.	
	CG	<i>Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications</i> , Work In Progress, By Wallace et al., <u>RADIOLOGY</u> , February 1986, pp. 309-312.	
VB	CH	Brochure Entitled <i>AVE MICRO STENT</i> ®, Instructions for Use, By Applied Vascular Engineering, Inc., pp. 1-15.	
VB	CI	Brochure Entitled <i>MICRO STENT</i> ®, By Applied Vascular Engineering, Inc.	
	CJ		
	CK		
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	CQ		
<b>EXAMINER</b> <i>Y. Bae</i>		<b>DATE CONSIDERED</b> 3/29/05	
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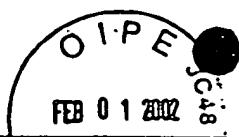
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Sheet (7/8)

# 09/957,983

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO.: S63.2-6769. C-147	APPLICATION NO.: 69197,278 V/B		
			APPLICANT: Brian J. Brown et al.			
			FILING DATE: November 20, 1998	GROUP: 3731		
REFERENCE DESIGNATION			U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAMINER'S INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
AB	AA	6,068,656	3/30/00	Von Oepen	623/1-17	3/29/05
AB	AB	6,129,753	10/10/00	Mathis et al.	623/1-15	3/29/05
	AC					
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FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY		
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
VB	CA		SMART <sup>TM</sup> <i>Siemens</i> Brochure, Cordis, a Johnson & Johnson company, date unknown.			
	CB					
	CC					
EXAMINER <i>P. Moore</i>			DATE CONSIDERED 3/29/05			
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Sheet (8/8)

App. # 09/957,983 1B

FORM PTO-1449 (Revised) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)			ATTY. DOCKET NO: S63.2-6769			Application No. KA 103-6 2002 Group: 1100A	
			APPLICANT: Brian J. Brown et al.				
			FILING DATE: November 20, 1998				
<b>REFERENCE DESIGNATION</b>							
<b>FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION</b>							
EXAMINER'S INITIALS		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
							YES
1/15	BF	97/04721	2/13/97	WO			
	BG	97/14375	2/24/97	WO			
	BH	97/25937	7/24/97	WO			
	BI	97/32543	9/12/97	WO			
	BJ	97/32544	9/12/97	WO			
	BK	97/33534	9/18/97	WO			
	BL	97/40780	11/6/97	WO			
	BM	97/40781	11/6/97	WO			
	BN	97/40782	11/6/97	WO			
✓	BO	97/40783	11/6/97	WO			
✓15	BP	97/40874	11/6/97	WO			
	BQ						
	BR						
	BS						
	BT						
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	BW						
	BX						
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EXAMINER: <u>Mark</u>			DATE CONSIDERED			<u>2/29/05</u>	

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